

# Strategic Prevention Framework



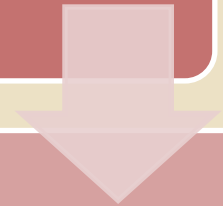
# Learning Objectives

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Gain an understanding of key community-level activities associated with SPF



Identify challenges to communities



Identify possible training or technical assistance responses to move communities

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# What is SPF?

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- Public health, outcomes-based prevention approach
- Involves communities implementing a five-step process
- Applies assessment and evaluation to reducing substance abuse and its consequences

***Goal:*** Develop, strengthen and maintain prevention services **infrastructure** throughout the state, and to impact, at a community level priority areas of substance abuse identified

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# What it isn't?

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- Program
- Training
- Funding (*but process will support an application*)

*Flavor of the Day vs. Infrastructure = Sustainability*

**Addresses all problems!**

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# SPF

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## 5 Steps

1. **Assessment**
2. **Capacity**
3. **Planning**
4. **Implementation**
5. **Evaluation**



*“Threads of Sustainability and Cultural Competence In Each Step”*

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# SPF Journey So Far...Let's Review

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**“Begin with the end in mind.”**

**“It’s *important* to know where you’ve been to help determine where you *want* to go.”**

***NECESSARY & NEED***

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# SPF Step 1

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- Community-Level Assessment Processes
    - Community Assessment
    - Need Assessment
    - Capacity Assessment
  - Data
    - Qualitative
      - Key informant interviews
      - Focus Groups
    - Quantitative
      - Epidemiological Findings
  - Cultural Competence
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# Step 1 - Assessment

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What is Assessment?

- Assessment of substance use and related problems of substance use
  - Assessment of resources, gaps, and readiness
  - Leads to recommendations regarding community priorities
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# SPF Step 2

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Elements of Community Mobilization and Capacity Building

Community Resources

Community Readiness

Capacity = Resources + Readiness

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# Step 2 - Capacity: What does it involve?

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- Mobilizing resources
  - Engaging stakeholders
  - Partnerships with the community
  - Building coalitions
  - Developing readiness
  - Focus on cultural competency, sustainability and evaluation
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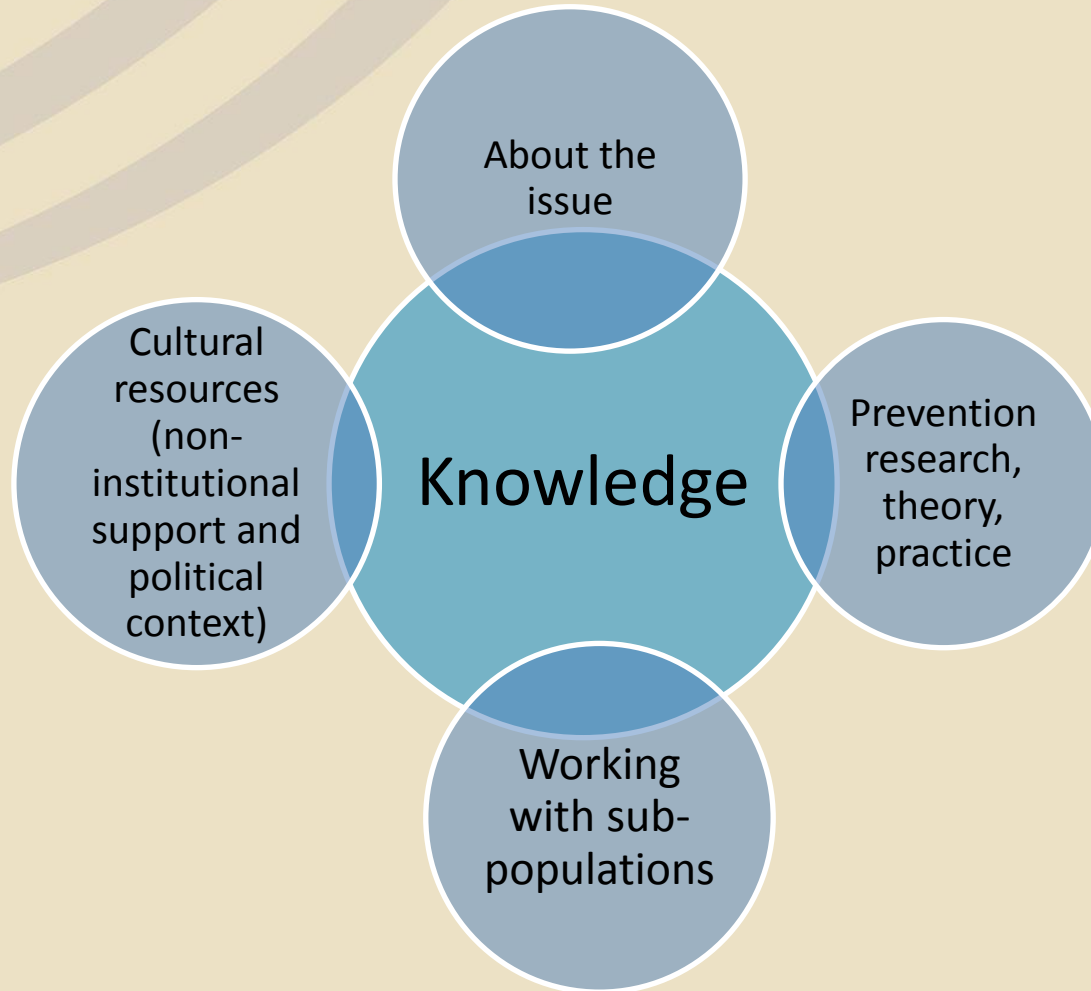
# What is Capacity?

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- Various types and levels of resources within the community and within an organization, such as a coalition
  - The community's level of readiness to engage in and support prevention efforts.
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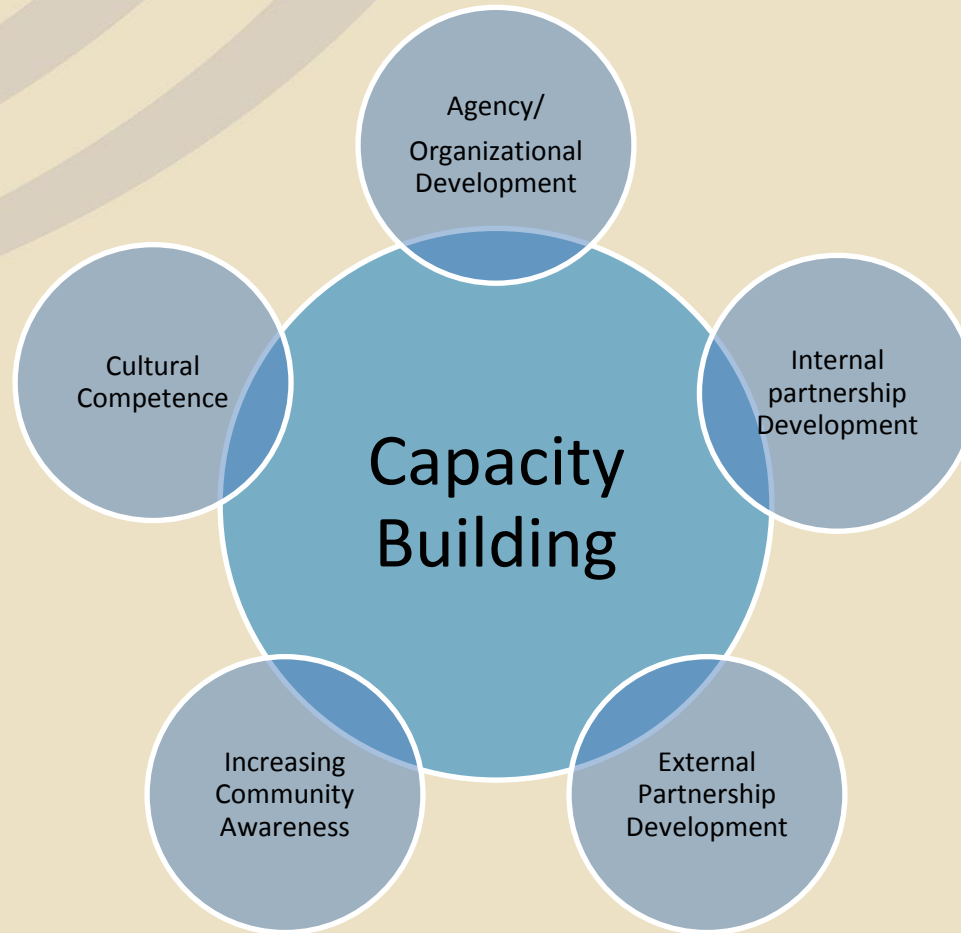
# Community Resource

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# Building Capacity: Five Areas

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# Human Capacities

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## **SPF Step 3**

# **Planning at the Community Level**

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# Step 3 - Develop a Comprehensive Strategic Plan

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What is a Comprehensive Strategic Plan?

- A comprehensive, logical, and data driven plan to address the problems identified in Step 1 using the capacity built or mobilized in Step 2
  - Includes a Logic Model
  - Includes Strategic Goals, Objectives, and Performance Targets
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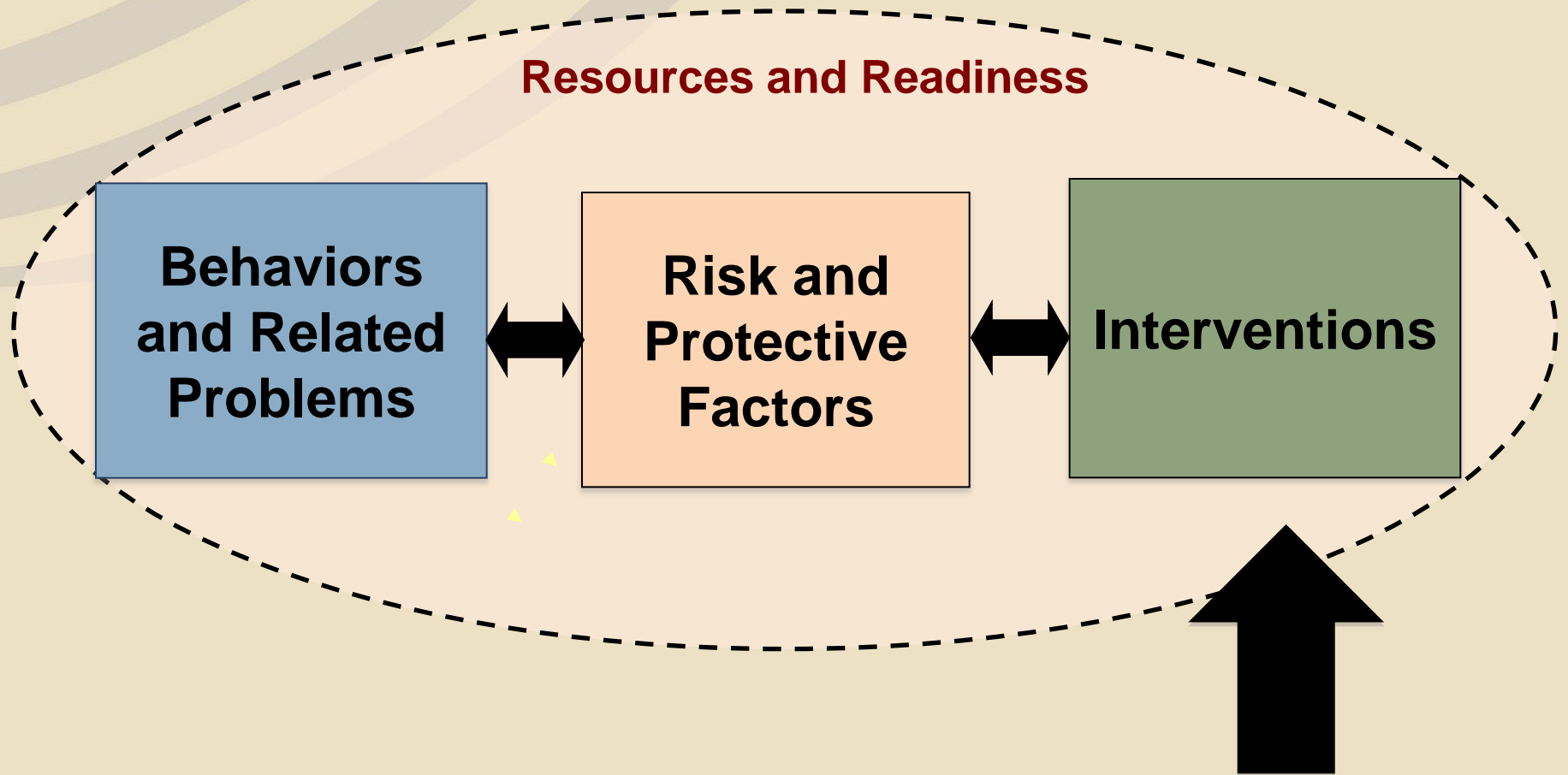
# Planning Tasks

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- Prioritize risk factors (intervening variables) that will address local priority problems
  - Select evidence-based strategies
  - Identify measurable outcomes for each intervention
  - Complete a logic model
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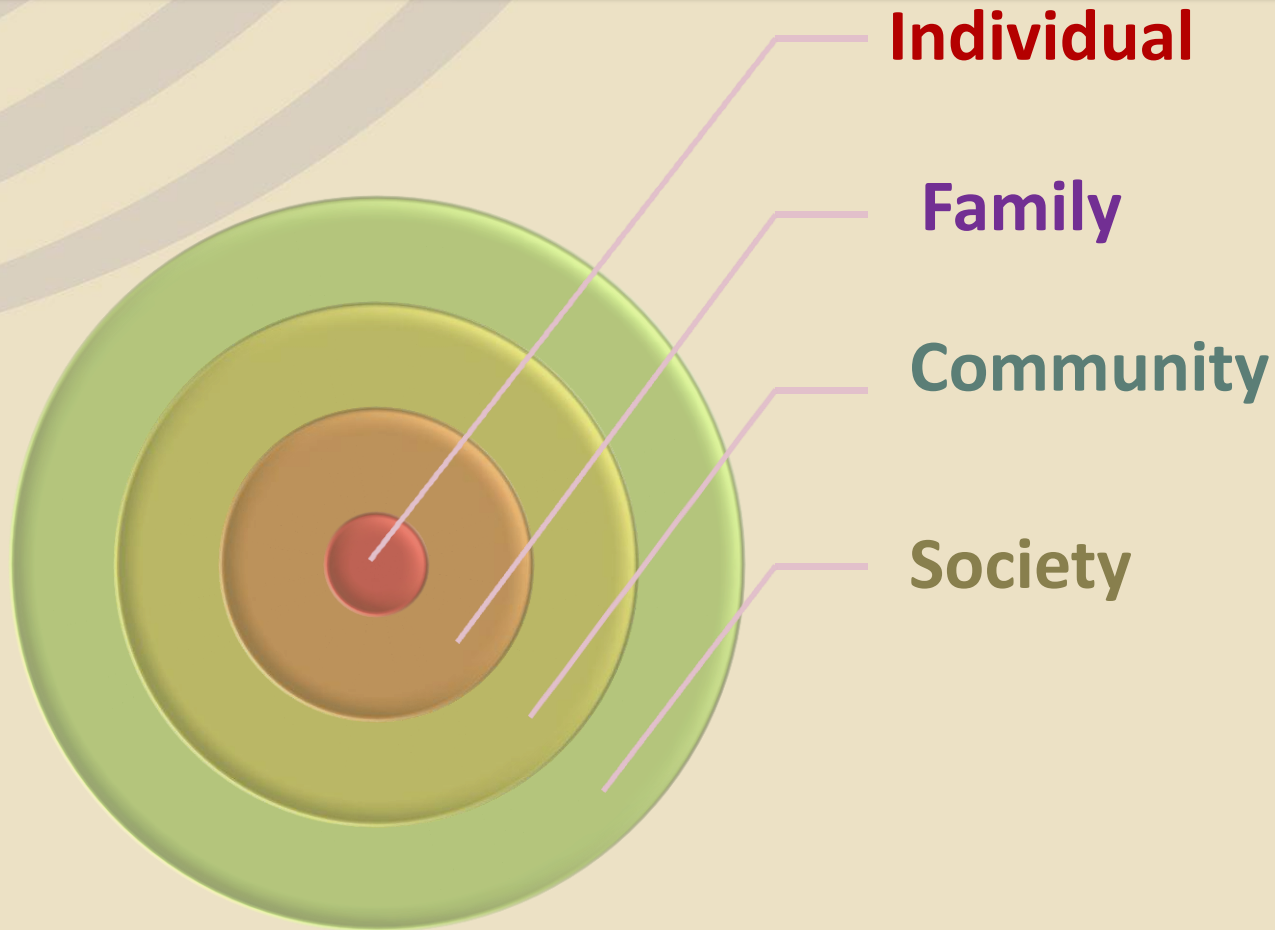
# Our Road Map – Logic Model

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# Interventions and Multiple Contexts

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# Examples of Different Interventions

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- ✓ **Education-based programs**
- ✓ **Policy**
- ✓ **Enforcement**
- ✓ **Communication and public education**





# Selecting Interventions: Things to Consider

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## Effectiveness

- Is the intervention effective?

## Conceptual Fit

- Will the intervention impact the selected risk factor?

## Practical Fit

- Is the intervention feasible for the community?



# Effectiveness: Is It Evidence-Based?

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**It has been included in a federal registry of evidence-based interventions.**



**It has been reported in peer-reviewed journals with positive effects.**



**It has documented effectiveness that is supported by other sources of information and the consensus of experts.**

# Wisconsin Goals

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## Alcohol, Culture and Environment Workgroup Recommendations



*Changing Wisconsin's Alcohol Environment  
to Promote Safe and Healthy Lives*

April 2010

Wisconsin State Council on Alcohol and Other Drug Abuse,  
Prevention Committee, Alcohol, Culture and Environment Workgroup



State of Wisconsin  
State Council on Alcohol and Other Drug Abuse  
1 West Wilson Street, P.O. Box 7851  
Madison, Wisconsin 53707-7851

## Reducing Wisconsin's Prescription Drug Abuse: A Call to Action



*Critical steps toward building a healthier Wisconsin*

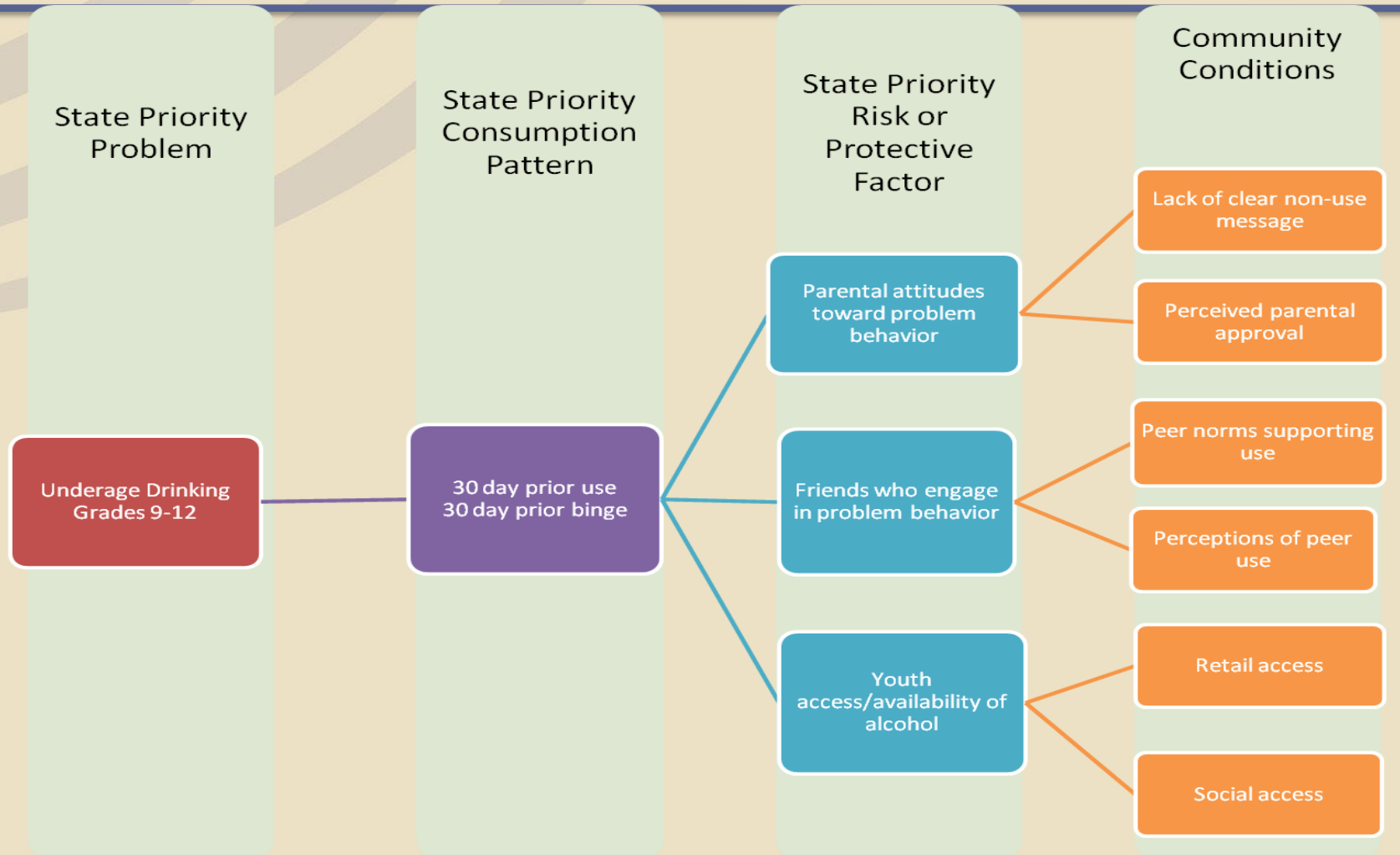
January 2012

Wisconsin State Council on Alcohol and Other Drug Abuse  
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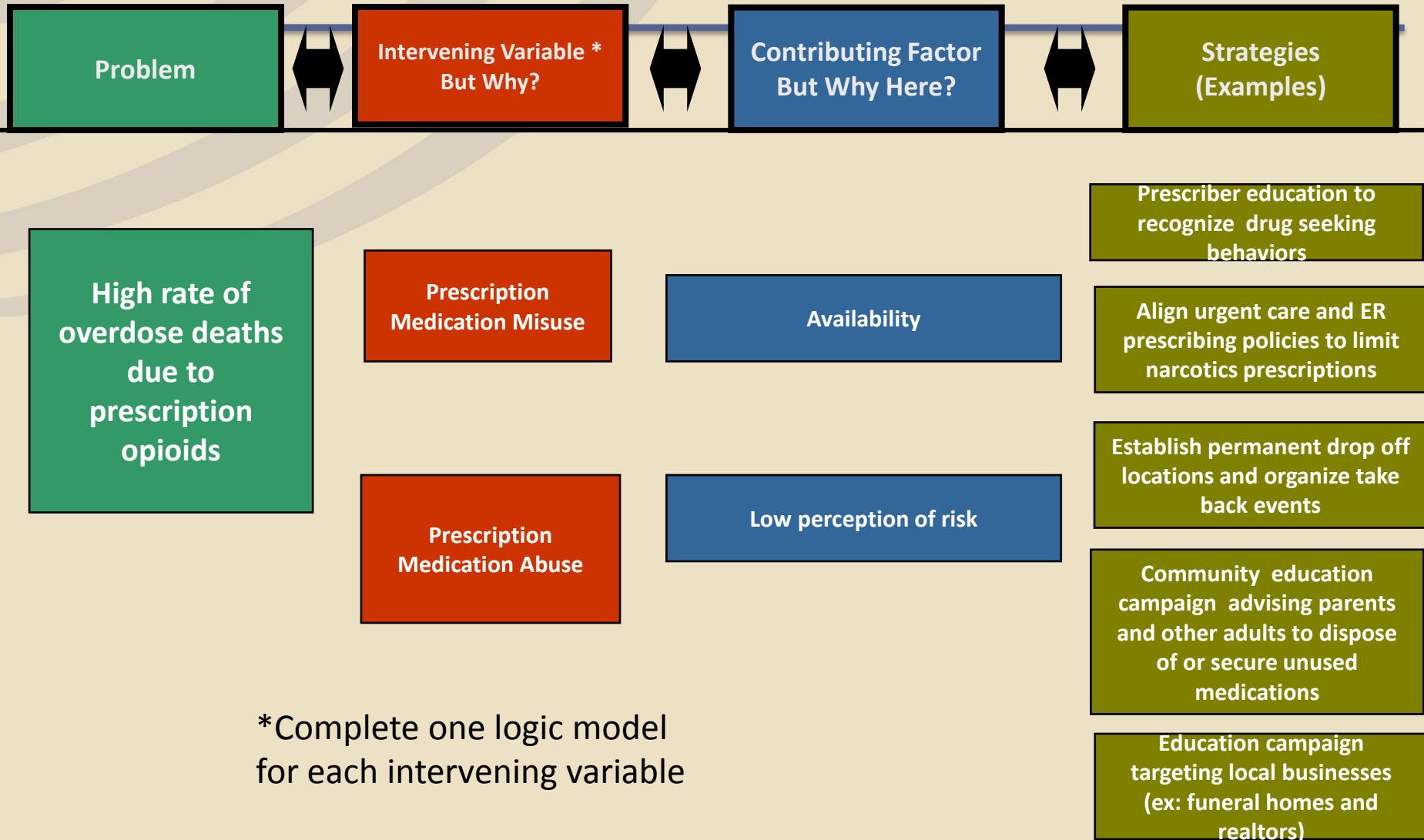


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# Sample Logic Model



# Logic Model: Reducing Prescription Opioid Related Overdose Deaths



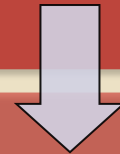
# A Comprehensive Prevention Plan

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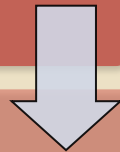
**Describe the priority problem and why it was selected.**



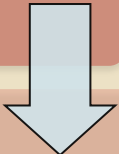
**List risk and protective factors, and describe how they were prioritized.**



**Describe resources, resource gaps, readiness, and cultural issues, and how any challenges will be addressed.**



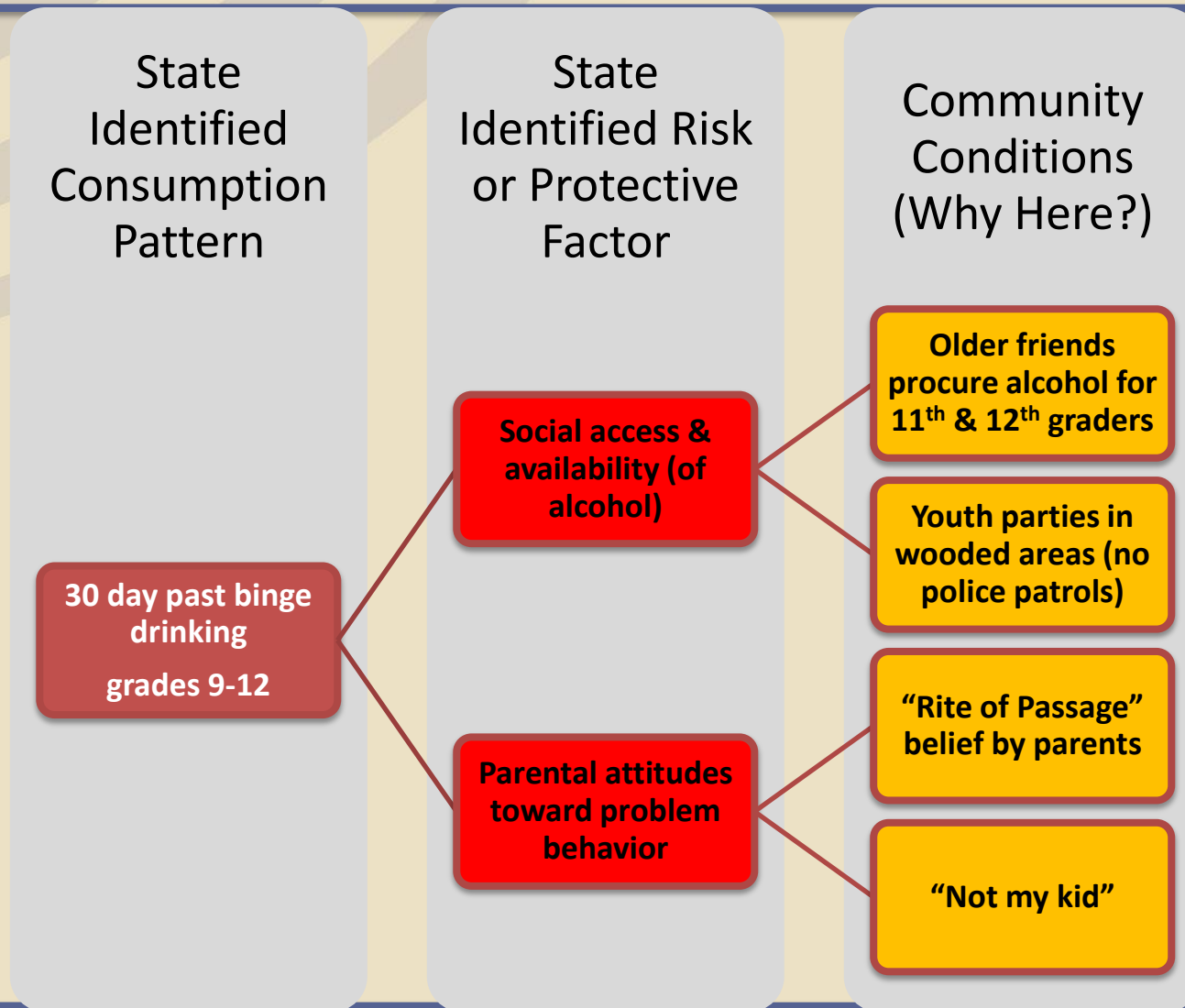
**Describe interventions that will impact the selected risk factors.**



**Develop a logic model with short- and long-term outcomes.**



# Sample Community Logic Model



# Selecting the Programs with the Best Fit

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- Resources
  - Target Population
  - Organizational Climate
  - Community Climate
  - Evaluability
  - Future Sustainability
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# Wisconsin Goals

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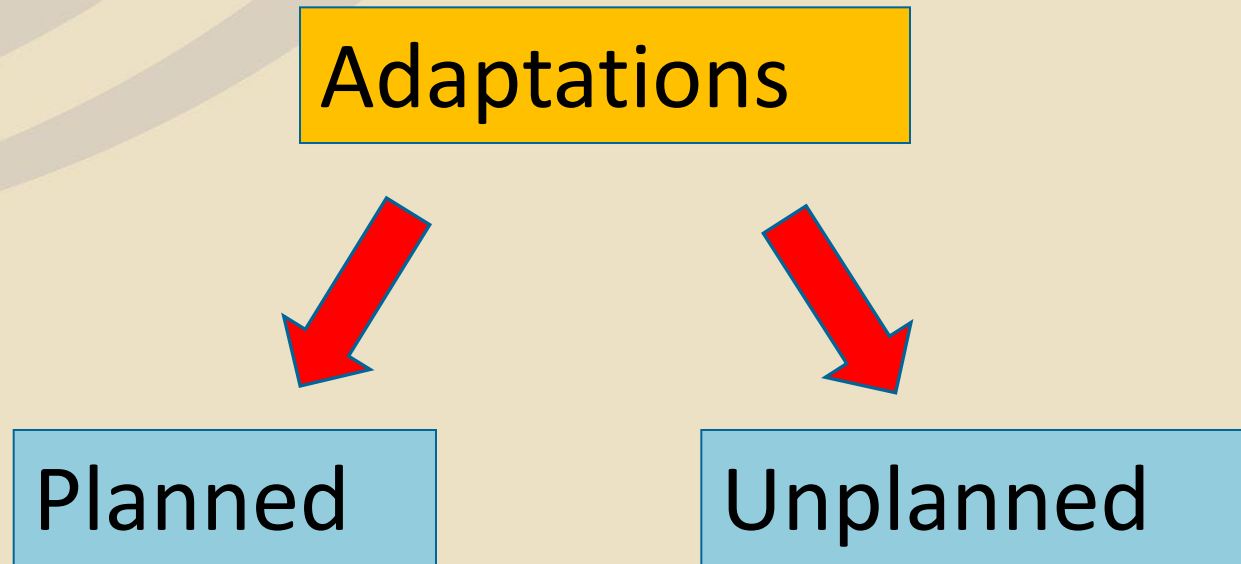
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# Fidelity and Adaptation

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# Adaptation Guidelines

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- Select an approach that best fits local needs and conditions.
- Select programs that have a large effect size.
- Change capacity before program.



# Adaptation Guidelines

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- Consult the experts.
- Retain core components.
- Adhere to evidence-based principles.
- Add, rather than subtract.





# Factors that Influence Implementation

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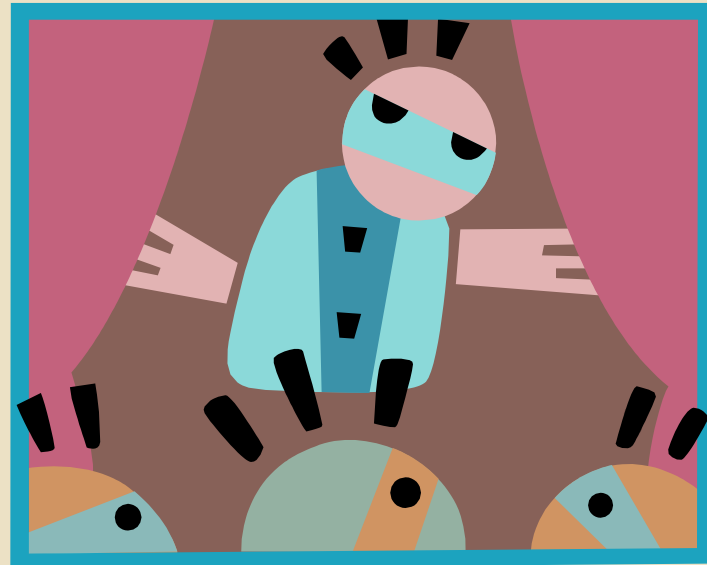
- Staff or practitioner selection



# Factors that Influence Implementation

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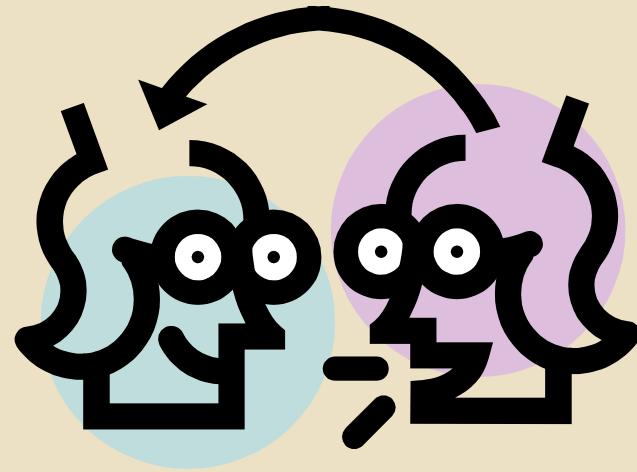
- Pre-service and in-service training



# Factors that Influence Implementation

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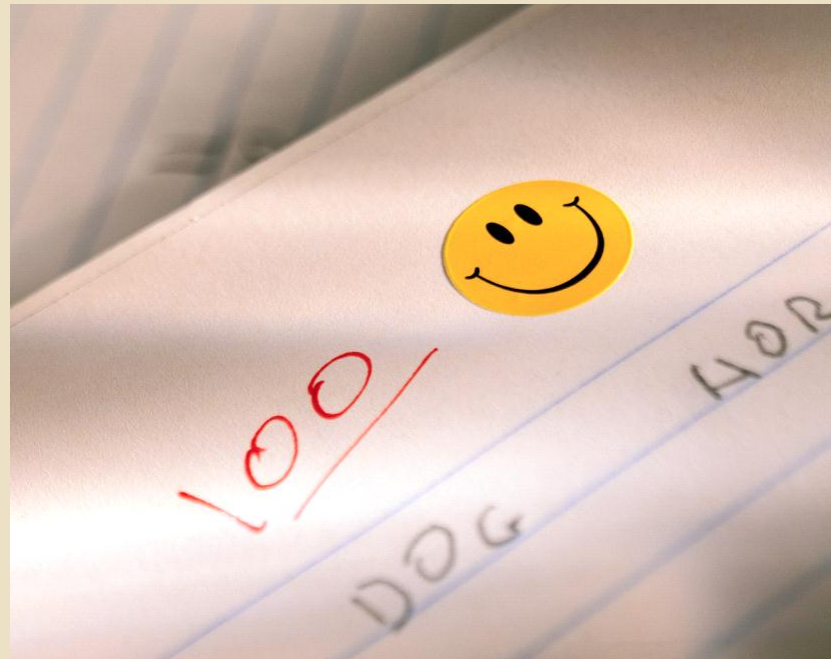
- Ongoing consultation and coaching



# Factors that Influence Implementation

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- Staff and program evaluation



# Factors that Influence Implementation

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- Facilitative administrative support



# Factors that Influence Implementation

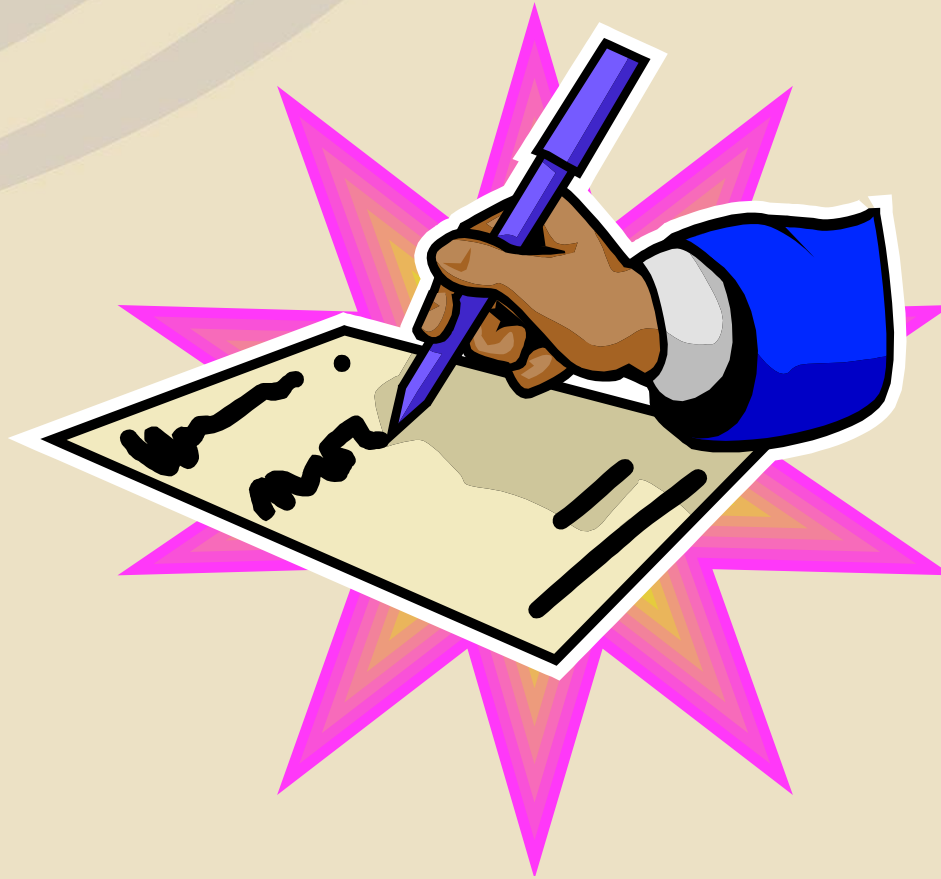
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- Favorable history implementing prevention strategies



# Developing an Action Plan

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# Developing an Action Plan

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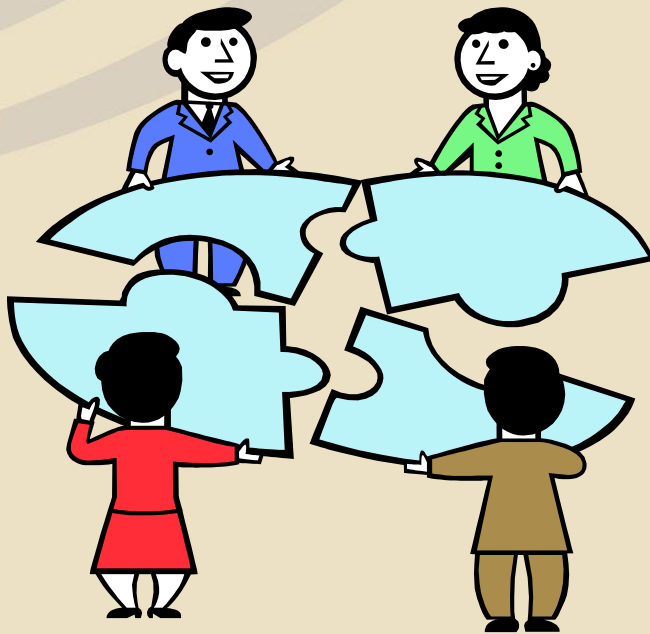
- What you expect to accomplish
- Your approach
- Action steps
- People responsible for completing action steps
- Timeline





# Developing an Action Plan

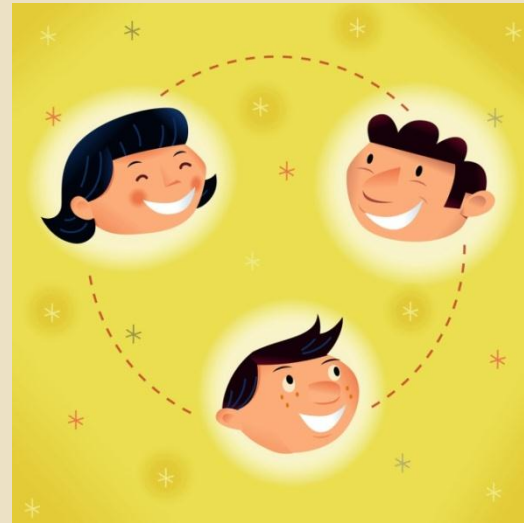
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# Sustainability

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Good  
implementation  
involves the  
community.



# Sustainability

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Strategies for getting, and keeping, people involved:

- Give them meaningful things to do
- Keep people informed
- Cultivate program champions



# Federal Summary Definition of Implementation

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- State: Implement infrastructure development activities
  - ❑ Build capacity to provide the infrastructure and other necessary support for state and local-level SPF process
- Community: Implement evidence-based prevention strategies and infrastructure development activities
  - ❑ Use the findings of needs assessments to guide selection and implementation of evidence-based policies, programs, and practices
  - ❑ Strategies must be evidence-based

*(SAMHSA Guidance Document)*

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# Importance of Implementation Step

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*This is where you do what you say you were going to do...where the rubber meets the road.*

**Slogans:**

*Just Do It!*

*Moving Forward!*

*Common Word: ACTION*

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# Components of Implementation

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- Action plan development (More plans?)
  - What are EBP's?
  - What is Fidelity and adaptation
  - What are factors that can influence implementation
-

# Action Plan Development

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- Translates what you've done into what you're doing next
  - Identifies program specific features
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# Components of an Action Plan

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- What you expect to accomplish
  - Your approach
  - Action steps
  - People responsible for completing action steps
  - Timeline
-



# Simple Action Plan Discussions

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Who?

What?

When?

How?

Resources Needed?

*It is a specific and detailed description of what you will do to make vision a reality.*

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# **Sustaining Action**

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## **Strategies for getting, and keeping, people involved**

- Give them meaningful things to do
  - Keep people informed
  - Cultivate program champions
-



## 5.Evaluation: Monitor, Evaluate, Sustain and Improve

# Importance of Evaluation

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- What works
- What doesn't work
- What to improve
- How to improve it

Funders require it!

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# Evaluation Embedded into SPF

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1. **Assessment** -Gather Baseline
  2. **Capacity**-Continue to Assess Capacity
  3. **Planning**-Logic Models provide basis for evaluation plan
  4. **Implementation**-Gathering process and fidelity data
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# Stages of Research

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- Formative (*Quantitative/Qualitative*)
    - Gathering data, Who to target?  
Allocation of Resources
  - Pre-Testing (*Focus Groups & Interviews*)
  - Monitoring (*Beliefs & Behaviors constantly changing*)
  - Evaluation (*How did we impact the target population?*)
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# State Level Evaluation Tasks

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## **Process Evaluation:**

- Were each of the SPF steps carried out?
  - Did the State develop an Epidemiological Profile and a State Plan?
  - Did the State identify consequences associated with substance abuse?
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# State Level Evaluation Tasks

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## **Outcome Evaluation:**

- Did the substance abuse problem identified occur across communities (perhaps compared to non-funded)?
  - Did the State implement successful technical assistance and training in an effort to build capacity for the SPF?
  - Did the State adopt new policies designed to implement outcomes?
  - Did the State develop a plan to coordinate funding across agencies?
-